



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Number : 10/654,091 Confirmation No.: 5822  
Applicant : James J. LEKACHMAN  
Filed : September 4, 2003  
Title : SYSTEM AND METHOD FOR FINANCIAL INSTRUMENT  
PRE-QUALIFICATION AND OFFERING  
TC/Art Unit : 3694  
Examiner: : TRAN, Hai  
  
Docket No. : 47004.000216  
Customer No. : 21967

**MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, and in compliance with the duty of disclosure set forth in 37 C.F.R. § 1.56, Applicants submit attached Form PTO/SB/08B (modified) for consideration and request the references cited therein be made of record by the U.S. Patent and Trademark Office in the above-captioned application.

Applicants respectfully point out that the submission of the listed references in this Information Disclosure Statement is not an admission that they are prior art or that they are material to patentability of any claims of the application. Also, the submission of this Information Disclosure Statement is not an indication that a search has been made by Applicants.

In accordance with 37 C.F.R. §1.98(a)(2)(ii), copies of U.S. patents and/or U.S. patent application publications cited on the attached Form PTO/SB/08B (modified), are not being provided.

In considering the cited references enclosed with this communication, it may be noted by the Examiner that certain of the references may contain markings, underlinings, and/or other notations. These markings, underlinings, and/or other notations are not to be construed as drawing the Examiner's attention either to selected parts or away from other parts of the cited

references. Any such markings were either present on the copies of the cited references obtained by the associated individuals, or were made thereon during the study of the references by the associated individuals.

Consideration of the foregoing plus the prompt return of a copy of the enclosed Form PTO/SB/08B (modified) with the Examiner's initials in the left column in accordance with MPEP 609 are respectfully requested.

In accordance with 37 C.F.R. § 1.97(b), this Information Disclosure Statement is being submitted prior to the issuance of a first Office Action after the filing of a request for continued examination. Therefore, it is respectfully submitted that no fee is required for consideration of this information. However, in the event any fee is deemed necessary, the Commissioner is authorized to charge the undersigned's Deposit Account No. 50-0206.

Respectfully submitted,

HUNTON & WILLIAMS LLP

Dated: 6/8/09

By: 

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